



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. DeMello, et al.

Confirmation No.: 3999

Application No.: 09/604,636

Group Art Unit: 2126

Filing Date: June 27, 2000

Examiner: Zhen, Li B

**For: SYSTEM AND METHOD FOR CLIENT INTERACTION IN A MULTI-
LEVEL RIGHTS-MANAGEMENT ARCHITECTURE**

**EXPRESS MAIL LABEL NO: EL 999298905 US
DATE OF DEPOSIT: November 3, 2004**

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **30 thru 32, 43 thru 47, 58 thru 62, 73 thru 75** listed on the attached Form PTO-1449 are enclosed herewith.

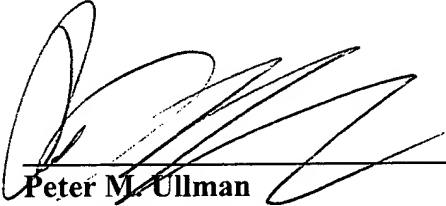
- ☒ Copies of reference numbers **33 thru 42, 48 thru 57, 63 thru 72, 76 thru 87** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

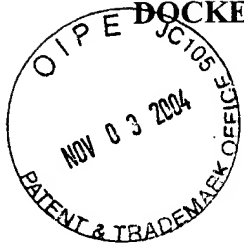
There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 11-3-04


Peter M. Ullman
Registration No. 43,963

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



DOCKET NO.: MSFT-0189/154576.1

PATENT

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Dear Sir:

COMMUNICATION

- ☒ Applicant wishes to advise the Examiner of certain co-pending applications with regard to the present application. In particular, the following eleven (11) applications, nos. **09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543**, were all filed on June 27, 2000 and are presently pending. These applications share either substantially identical specifications or overlapping material, and also have overlapping (but non-identical) sets of inventors.

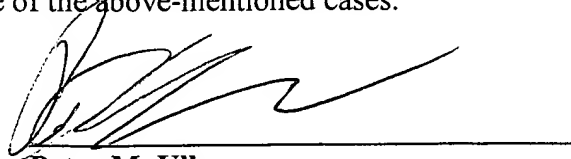
Of the eleven (11) applications listed above, three of the applications (nos. **09/604,540, 09/604,946, 09/604,944**) each claim priority to two provisional applications (60/172,318 and 60/172,319) filed on December 17, 1999.

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PATENT

Additionally, at present each of the following application nos.: **10/858,890** (filed June 2, 2004); **10/871,739** (filed June 18, 2004); and **10/943,413** (filed September 17, 2004); is a pending child of one of the above-mentioned cases.

Date: 11-3-04



Peter M. Ullman
Registration No. **43,963**

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

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Sheet 1 of 6

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0189/154576.1		Application No. 09/604,636	
	Applicant Marco A. DeMello, et al.			
	Filing Date June 27, 2000		Group 2126	
	Confirmation No. 3999			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	30	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>First International Workshop</i> , Anderson, Ross (ed.,) 1996 , 317-333		
	31	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference</i> , 1999 , franklin, M. (ed), 118-124		
	32	Jaeger, T., "Flexible Control of Downloaded Executable Content", 1999 , 2(2), 177-228		
EXAMINER		DATE CONSIDERED		



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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	33	2002/0002611	01/02	Vange, Mark	709	223
	34	5,809,145	09/98	Slik, et al.	705	52
	35	5,864,620	01/26/99	Pettitt	380	4
	36	6,088,717	07/00	Reed, et al.	709	201
	37	6,226,618	05/01	Downs, et al.	705	1
	38	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	39	6,425,017	07/02	Dievendorff, et al.	709	315
	40	6,606,604	08/03	Dutta, Rabindranath	705	26
	41	6,629,138	09/03	Lambert, et al.	709	224
	42	6,718,361 B1	04/04	Basani, et al.	709	201

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	43	WO 00/08909	02/24/00	PCT		
	44	WO 00/75760 A1	12/14/00	PCT		
	45	WO 96/42041	12/27/96	PCT		
	46	WO 98/45768	10/15/98	PCT		
	47	WO 99/63416	12/09/99	PCT		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	48	2001/0011238	08/01	Eberhard, et al.	705	27
	49	5,509,070	04/16/96	Schull	380	4
	50	5,708,780	01/98	Levergood, et al.	709	229
	51	5,724,425	03/03/98	Chang, et al.	380	25
	52	5,734,823	03/31/98	Saigh, et al.	395	200.06
	53	5,734,891	03/31/98	Saigh	395	610
	54	5,883,955	03/16/99	Ronning	380	4
	55	5,933,498	08/03/99	Schneck, et al.	380	4
	56	5,970,475	10/99	Barnes, et al.	705	27
	57	5,999,622	12/07/99	Yasukawa, et al.	380	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	58	EP 0 778 512 A2	06/11/97	EPO		
	59	WO 96/24092	08/08/96	PCT		
	60	WO 98/44402	10/08/98	PCT		
	61	WO 98/58306	12/23/98	PCT		
	62	WO 99/55055	12/28/99	PCT		

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	63	4,924,378	05/08/90	Hershey, et al.	713	201
	64	5,222,134	06/22/93	Waite, et al.	380	4
	65	5,654,746	08/05/97	McMullan, Jr. et al.	725	29
	66	5,742,677	04/21/98	Pinder, et al.	380	242
	67	5,784,609	07/21/98	Kurihara, A.	707	9
	68	5,983,273	11/09/99	White, et al.	709	229
	69	5,991,402	11/23/99	Jia, et al.	705	59
	70	6,029,046	02/22/00	Khan, et al.	725	31
	71	6,035,403	03/07/00	Subbiah, et al.	713	201
	72	6,067,582	05/23/00	Smith, et al.	710	5

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	73	WO 99/01815	01/14/99	PCT		
	74	WO 99/26123	05/27/99	PCT		
	75	WO 99/45491	09/10/99	PCT		

EXAMINER	DATE CONSIDERED
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	76	2002/0069265 A1	06/06/02	Bountour, et al.	709	219
	77	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200
	78	5,359,659	10/25/94	Rosenthal	380	4
	79	5,530,235	06/25/96	Stefik, et al.	235	492
	80	5,625,693	04/29/97	Rohatgi, et al.	380	23
	81	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	82	5,991,399	11/23/99	Graunke, et al.	380	4
	83	6,049,612	04/11/00	Fiedler, et al.	380	44
	84	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	85	6,331,865 B1	12/18/01	Sachs, et al.	345	776

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	86	5,765,152	06/09/98	Erickson, J.S.	707	9
	87	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER	DATE CONSIDERED
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